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# GROUPS OF MEASURE- PRESERVING HOMEOMORPHISMS OF NONCOMPACT 2- MANIFOLDS(General and Geometric Topology and Geometric Group Theory)

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# GROUPS OF MEASURE-PRESERVING HOMEOMORPHISMS OF NONCOMPACT 2-MANIFOLDS

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## 1. INTRODUCTION

A. Fathi [5] made a comprehensive study on topological and algebraic properties of groups of measure-preserving homeomorphisms of compact  $n$ -manifolds. R. Belranga [1, 2, 3] has extended this work to the noncompact manifolds. In this article we combine R. Belranga's results with our works on groups of homeomorphisms of noncompact 2-manifolds and obtain some conclusions on topological properties of groups of measure-preserving homeomorphisms of noncompact 2-manifolds [12].

## 2. PRELIMINARIES ON SPACES OF RADON MEASURES

First we recall some basic facts on spaces of Radon measures and actions of homeomorphism groups. Suppose  $X$  is a connected locally connected locally compact separable metrizable space.

### 2.1. Spaces of Radon measures.

Let  $\mathcal{B}(X)$  denote the  $\sigma$ -algebra of Borel subsets of  $X$  and  $\mathcal{K}(X)$  denote the set of all compact subsets of  $X$ .

#### Definition 2.1.

- (1) A Radon measure on  $X$  is a measure  $\mu$  on  $(X, \mathcal{B}(X))$  such that  $\mu(K) < \infty$  for any  $K \in \mathcal{K}(X)$ .
- (2)  $\mathcal{M}(X)$  = the space of Radon measures on  $X$ .
- (3) The weak topology  $w$  on  $\mathcal{M}(X)$  is the weakest topology such that

$$\Phi_f : \mathcal{M}(X) \rightarrow \mathbb{R} : \Phi_f(\mu) = \int_M f d\mu \quad \text{is continuous}$$

for any continuous function  $f : M \rightarrow \mathbb{R}$  with compact support.

- (4)  $\mathcal{M}^A(X) = \{\mu \in \mathcal{M}(X) \mid \mu(A) = 0\} \quad (A \in \mathcal{B}(X)).$

**Remark 2.1.** Let  $K \in \mathcal{K}(X)$ .

- (1) The map  $\mathcal{M}(X)_w \ni \mu \mapsto \mu(K) \in \mathbb{R}$  is upper semicontinuous.
- (2) The map  $\mathcal{M}^{\text{Fr } K}(X)_w \ni \mu \mapsto \mu(K) \in \mathbb{R}$  is continuous.

**Lemma 2.1.** Let  $\mu \in \mathcal{M}(M)$ .

The space  $\mathcal{M}(M)_w$  admits a canonical contraction  $\varphi_t(\nu) = (1-t)\nu + t\mu$  ( $0 \leq t \leq 1$ ).

**Definition 2.2.**

- (1) A Radon measure  $\mu \in \mathcal{M}(X)$  is said to be good if
  - (i)  $\mu(p) = 0$  for any  $p \in M$  and (ii)  $\mu(U) > 0$  for any nonempty open subset  $U$  of  $X$ .
- (2)  $\mathcal{M}_g^A(X) = \{\mu \in \mathcal{M}^A(X) \mid \mu : \text{good}\} \quad (A \in \mathcal{B}(X)).$

**Definition 2.3.** Let  $\mu \in \mathcal{M}_g^A(X)$ .

- (1) A Radon measure  $\nu \in \mathcal{M}_g^A(X)$  is  $\mu$ -biregular if  $\mu$  and  $\nu$  have same null sets (i.e.,  $\mu(B) = 0$  iff  $\nu(B) = 0$  for  $B \in \mathcal{B}(X)$ ).
- (2)  $\mathcal{M}_g^A(X; \mu\text{-reg}) = \{\nu \in \mathcal{M}_g^A(X) \mid \nu(X) = \mu(X), \nu : \mu\text{-biregular}\}.$

**2.2. Homeomorphism groups.**

Let  $\mathcal{H}(X)$  denote the group of homeomorphisms of  $X$  with the compact-open topology. It is known that  $\mathcal{H}(X)$  is a separable completely metrizable topological group.

**Definition 2.4.** Let  $A, C \subset X$ .

- (1)  $\mathcal{H}_C(X, A) = \{h \in \mathcal{H}(X) \mid h|_C = id_C, h(A) = A\}$
- (2)  $\mathcal{H}_C^\varepsilon(X, A) = \{h \in \mathcal{H}_C(X, A) \mid \text{Supp } h : \text{compact}\}$
- (3) For a subgroup  $G$  of  $\mathcal{H}(X)$  let  $G_0$  denote the connected component of  $id_M$  in  $G$ .

Let  $\mu \in \mathcal{M}(X)$ .

**Definition 2.5.** For  $h \in \mathcal{H}(X)$  a measure  $h_*\mu \in \mathcal{M}(X)$  is defined by  $(h_*\mu)(B) = \mu(h^{-1}(B))$  ( $B \in \mathcal{B}(X)$ ).**Definition 2.6.** Let  $h \in \mathcal{H}(X)$ .

- (1)  $h$  is  $\mu$ -preserving if  $h_*\mu = \mu$  (i.e.,  $\mu(h(B)) = \mu(B)$  for any  $B \in \mathcal{B}(X)$ ).
- (2)  $h$  is  $\mu$ -biregular if  $h$  preserves  $\mu$ -null sets (i.e.,  $\mu(h(B)) = 0$  iff  $\mu(B) = 0$  for  $B \in \mathcal{B}(X)$ ).

**Definition 2.7.**

- (1)  $\mathcal{H}_C(X, A; \mu\text{-reg}) = \{h \in \mathcal{H}_C(X, A) \mid h : \mu\text{-biregular}\}$
- (2)  $\mathcal{H}_C(X, A; \mu) = \{h \in \mathcal{H}_C(X, A) \mid h : \mu\text{-preserving}\}$

**2.3. Actions of homeomorphism groups on spaces of Radon measures.**

The topological group  $\mathcal{H}(X, A)$  acts continuously on the space  $\mathcal{M}_g^A(X)_w$  by  $h \cdot \mu = h_*\mu$ . For  $\mu \in \mathcal{M}_g^A(X)$  the orbit map at  $\mu$  is the map  $\pi : \mathcal{H}(X, A) \rightarrow \mathcal{M}_g^A(X)_w : h \mapsto h_*\mu$ . The group  $\mathcal{H}(X, A; \mu)$  is the stabilizer of  $\mu$  and it is the fiber of  $\pi$  at  $\mu$ .

**3. COMPACT MANIFOLD CASE**

In this section we list topological properties of groups of measure-preserving homeomorphisms of compact  $n$ -manifolds. Suppose  $M$  is a compact connected  $n$ -manifold.

**Theorem 3.1. (Transitivity)** (von Neumann-Oxtoby-Ulam [7]) *Let  $\mu, \nu \in \mathcal{M}_g^\partial(M)$ .*

*There exists  $h \in \mathcal{H}_\partial(M)_0$  with  $h_*\mu = \nu$  iff  $\mu(M) = \nu(M)$ .*

Let  $\mu \in \mathcal{M}_g^\partial(M)$ . The topological group  $\mathcal{H}(M; \mu\text{-reg})$  acts continuously on the space  $\mathcal{M}_g^\partial(M; \mu\text{-reg})_w$  by  $h \cdot \mu = h_*\mu$ . The orbit map at  $\mu$  is the map  $\pi : \mathcal{H}(M; \mu\text{-reg}) \rightarrow \mathcal{M}_g^\partial(M; \mu\text{-reg})_w : h \mapsto h_*\mu$ . The group  $\mathcal{H}(M; \mu)$  is the stabilizer of  $\mu$  and it is the fiber of  $\pi$  at  $\mu$ .

**Theorem 3.2. (Sections of orbit maps)** (A. Fathi [5])

*The orbit map  $\pi : \mathcal{H}(M; \mu\text{-reg}) \rightarrow \mathcal{M}_g^\partial(M; \mu\text{-reg})_w$  admits a continuous section  $\sigma : \mathcal{M}_g^\partial(M; \mu\text{-reg})_w \rightarrow \mathcal{H}_\partial(M; \mu\text{-reg})_0$ .*

**Corollary 3.1.**

- (1)  $\mathcal{H}(M; \mu\text{-reg}) \cong \mathcal{H}(M; \mu) \times \mathcal{M}_g^\partial(M; \mu\text{-reg})_w$ .
- (2)  $\mathcal{H}(M; \mu)$  is a strong deformation retract (SDR) of  $\mathcal{H}(M; \mu\text{-reg})$ .

A. Fathi [5] also studied the properties of the inclusion  $\mathcal{H}(M; \mu\text{-reg}) \subset \mathcal{H}(M)$ .

**Definition 3.1.** A subset  $B$  of a space  $Y$  is homotopy dense (HD)

if there exists a homotopy  $\varphi_t : Y \rightarrow Y$  such that  $\varphi_0 = id_Y$  and  $\varphi_t(Y) \subset B$  ( $0 < t \leq 1$ ).

**Theorem 3.3.** (A. Fathi [5])

$\mathcal{H}(M; \mu\text{-reg})$  is “HD for maps from finite-dimensional spaces” in  $\mathcal{H}(M)$ .

In particular, the inclusion  $\mathcal{H}(M; \mu\text{-reg}) \subset \mathcal{H}(M)$  is a weak homotopy equivalence.

When  $M$  is a compact 2-manifold, the group  $\mathcal{H}(M)$  is an ANR [6] and a  $\ell_2$ -manifold cf.[4]. This implies the next consequences.

**Corollary 3.2.** Suppose  $M$  is a compact connected 2-manifold.

- (1) (i)  $\mathcal{H}(M; \mu\text{-reg})$  is HD in  $\mathcal{H}(M)$ . (ii)  $\mathcal{H}(M; \mu\text{-reg})$  is an ANR.
- (2) (i)  $\mathcal{H}(M; \mu)$  is a  $\ell_2$ -manifold. (ii)  $\mathcal{H}(M; \mu)$  is a SDR of  $\mathcal{H}(M)$ .

The assertion (3) in Corollary 3.2 is deduced from the following characterization of  $\ell_2$ -manifolds for topological groups.

**Theorem 3.4.** (T. Dobrowolski - H. Toruńczyk [4]) *Let  $G$  be a topological group.*

*The space  $G$  is a  $\ell_2$ -manifold iff  $G$  is a separable, non-locally compact, completely metrizable ANR.*

#### 4. NON-COMPACT MANIFOLD CASE

R. Belranga [1, 2, 3] has extended some results in the previous setion to noncompact manifolds. To treat noncompact manifolds we have to include informations on ends of manifolds.

#### 4.1. End compactification.

First we recall basic facts on end compactifications. Suppose  $X$  is a connected locally connected locally compact separable metrizable space. Let  $\mathcal{C}(X)$  denote the set of connected components of  $X$ .

##### Definition 4.1.

- (1) An end  $e$  of  $X$  is an assignment  $e : \mathcal{K}(X) \ni K \mapsto e(K) \in \mathcal{C}(X - K)$  such that  $e(K_1) \supset e(K_2)$  for  $K_1 \subset K_2$ .
- (2)  $E(X)$  = the space of ends of  $X$
- (3) The end compactification of  $X$  is the space  $\overline{X} = X \cup E(X)$  endowed with the topology prescribed by the following conditions :
  - (i)  $X$  is an open subspace of  $\overline{X}$ .
  - (ii) The fundamental open neighborhoods of  $e \in E(X)$  are given by
 
$$N(e, K) = e(K) \cup \{e' \in E(X) \mid e'(K) = e(K)\} \quad (K \in \mathcal{K}(X)).$$

Let  $\mu \in \mathcal{M}(X)$ .

##### Definition 4.2.

- (1) An end  $e \in E(X)$  is said to be  $\mu$ -finite if  $\mu(e(K)) < \infty$  for some  $K \in \mathcal{K}(X)$ .
- (2)  $E_f(X; \mu) = \{e \in E(X) \mid e : \mu\text{-finite}\}$

##### Definition 4.3.

- (1)  $h \in \mathcal{H}(X)$  is  $\mu$ -end-regular if  $h$  is  $\mu$ -biregular and preserves the  $\mu$ -finite ends of  $X$ .
- (2)  $\mathcal{H}_C(X; \mu\text{-end-reg}) = \{h \in \mathcal{H}_C(X) \mid h : \mu\text{-end-regular}\}$

#### 4.2. Finite-end weak topology.

Let  $\mu \in \mathcal{M}_g^A(X)$ .

##### Definition 4.4.

- (1)  $\nu \in \mathcal{M}_g^A(X)$  is  $\mu$ -end-biregular if
  - (i)  $\nu$  is  $\mu$ -biregular and
  - (ii)  $E_f(X, \nu) = E_f(X, \mu)$  (i.e.,  $\nu$  and  $\mu$  have same finite ends).
- (2)  $\mathcal{M}_g^A(X; \mu\text{-end-reg}) = \{\nu \in \mathcal{M}_g^A(X) \mid \nu(X) = \mu(X), \nu : \mu\text{-end-biregular}\}$

Consider the subspaces  $X \stackrel{i}{\subset} X \cup E_f(X; \mu) \subset X \cup E(X) = \overline{X}$ . The inclusion  $X \stackrel{i}{\subset} X \cup E_f(X; \mu)$  induces a natural injection

$$i_* : \underset{\nu}{\mathcal{M}_g^A(X, \mu\text{-end-reg})} \longrightarrow \underset{i_*\nu}{\mathcal{M}_g^A(X \cup E_f(X; \mu))_w}$$

**Definition 4.5.** The finite-end weak topology  $ew$  on  $\mathcal{M}_g^A(X, \mu\text{-end-reg})$  is the topology induced by the injection  $i_* : \mathcal{M}_g^A(X, \mu\text{-end-reg}) \rightarrow \mathcal{M}_g^A(X \cup E_f(X; \mu))_w$  (i.e., the weakest topology such that  $i_*$  is continuous).

**Lemma 4.1.** *The space  $\mathcal{M}_g^A(X; \mu\text{-end-reg})_{ew}$  admits a contraction  $\varphi_t(\nu) = (1-t)\nu + t\mu$  ( $0 \leq t \leq 1$ ).*

The topological group  $\mathcal{H}(X, A; \mu\text{-end-reg})$  acts continuously on  $\mathcal{M}_g^A(X; \mu\text{-end-reg})_{ew}$  by  $h \cdot \nu = h_*\nu$ . The orbit map at  $\mu$  is the map  $\pi : \mathcal{H}(X, A; \mu\text{-end-reg}) \rightarrow \mathcal{M}_g^A(X; \mu\text{-end-reg})_{ew} : h \mapsto h_*\mu$ . The group  $\mathcal{H}(X, A; \mu)$  is the stabilizer of  $\mu$  and it is the fiber of  $\pi$  at  $\mu$ .

#### 4.3. Results of R. Berlanga.

Suppose  $M$  is a noncompact connected separable metrizable  $n$ -manifold and  $\mu \in \mathcal{M}_g^\partial(M)$ . R. Berlanga [1, 2, 3] obtained the following conclusions on the action of  $\mathcal{H}(M; \mu\text{-end-reg})$  on  $\mathcal{M}_g^\partial(M; \mu\text{-end-reg})_{ew}$ .

**Theorem 4.1. (Transitivity [1])** *Let  $\mu, \nu \in \mathcal{M}_g^\partial(M)$ .*

*There exists  $h \in \mathcal{H}_\partial(M)_0$  with  $h_*\mu = \nu$  iff  $\mu(M) = \nu(M)$  and  $E_f(M, \mu) = E_f(M, \nu)$ .*

**Theorem 4.2. (Sections of orbit maps [3])**

*The orbit map  $\pi : \mathcal{H}(M; \mu\text{-end-reg}) \rightarrow \mathcal{M}_g^\partial(M; \mu\text{-end-reg})_{ew}$ ,  $\pi(h) = h_*\mu$  admits a continuous section  $\sigma : \mathcal{M}_g^\partial(M; \mu\text{-end-reg})_{ew} \rightarrow \mathcal{H}_\partial(M; \mu\text{-end-reg})_0$ .*

**Corollary 4.1.**

- (1)  $\mathcal{H}_\partial(M; \mu\text{-end-reg}) \cong \mathcal{H}_\partial(M; \mu) \times \mathcal{M}_g^\partial(M; \mu\text{-end-reg})_{ew}$ .
- (2)  $\mathcal{H}_\partial(M; \mu)$  is a SDR of  $\mathcal{H}_\partial(M, \mu\text{-end-reg})$ .

At this moment we have no general results on the inclusion  $\mathcal{H}_\partial(M, \mu\text{-end-reg}) \subset \mathcal{H}_\partial(M)$ .

**Problem 4.1.** Is  $\mathcal{H}_\partial(M, \mu\text{-end-reg})$  HD in  $\mathcal{H}_\partial(M)$  ?

#### 4.4. Homeomorphism groups of noncompact 2-manifolds.

We have made a comprehensive study on topological properties of groups of homeomorphisms of noncompact 2-manifolds [8, 9, 10, 11]. The results are summarized as follows.

**Theorem 4.3. [9, 10]** *Suppose  $M$  is a noncompact connected 2-manifold.*

- (1)  $\mathcal{H}(M)_0$  is a  $\ell_2$ -manifold.
- (2)  $\mathcal{H}(M)_0 \simeq \begin{cases} \mathbb{S}^1 & (M = \mathbb{R}^2, \mathbb{S}^1 \times \mathbb{R}, \mathbb{S}^1 \times [0, 1), \text{ the open Möbius band}) \\ * & (\text{otherwise}) \end{cases}$
- (3)  $\mathcal{H}^{\text{PL},c}(M)_0$  is HD in  $\mathcal{H}(M)_0$  (for any PL-structure on  $M$ ).

We also studied topological properties of the space of embeddings into 2-manifolds [8, 11]. Suppose  $M$  is a connected 2-manifold and  $X$  is a compact connected subpolyhedron of  $M$ . Let  $\mathcal{E}(X, M)$  denote the space of embeddings of  $X$  into  $M$  with the compact-open topology and  $\mathcal{E}(X, M)_0$  denote the connected component of the inclusion  $i : X \subset M$  in  $\mathcal{E}(X, M)$ . We showed that  $\mathcal{E}(X, M)$  is a  $\ell_2$ -manifold and classified the homotopy type of  $\mathcal{E}(X, M)_0$ .

As an application of Theorem 4.3 we can give an affirmative answer to Problem 4.1 in dimension 2 and obtain some consequences on topological properties of groups of measure-preserving homeomorphisms of noncompact 2-manifolds [12].

**Lemma 4.2.** *Suppose  $M$  is a PL  $n$ -manifold and  $\mu \in \mathcal{M}_g^\partial(M)$ .*

*There exists an isotopy  $\varphi_t$  of  $M$  such that  $\varphi_0 = id_M$  and  $\mathcal{H}^{PL}(M) \subset \mathcal{H}(M; \mu\text{-end-reg})$  for the new PL-structure on  $M$  induced by  $\varphi_1$  from the old PL-structure.*

**Corollary 4.2.** *Suppose  $M$  is a noncompact connected 2-manifold.*

- (1) (i)  $\mathcal{H}(M, \mu\text{-end-reg})_0$  is HD in  $\mathcal{H}(M)_0$ .      (ii)  $\mathcal{H}(M, \mu\text{-end-reg})_0$  is an ANR.  
 (2) (i)  $\mathcal{H}(M; \mu)_0$  is a  $\ell_2$ -manifold.      (ii)  $\mathcal{H}(M; \mu)_0$  is a SDR of  $\mathcal{H}(M)_0$ .

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